

# 國立東華大學人文社會科學學院教師研究績效獎勵金給與要點

## NATIONAL DONG HWA UNIVERSITY College of Humanities and Social Sciences

### Disbursement Guidelines for Research Performance Bonuses

(Implemented June 10, 2020.)

一、國立東華大學（以下簡稱本校）人文社會科學學院（以下簡稱本院）為獎勵教師學術研究或專業領域成果之傑出表現與良好績效，依據「國立東華大學教師研究績效獎勵金給與辦法」（以下簡稱校研究績效獎勵辦法）制訂「國立東華大學人文社會科學學院教師研究績效獎勵金給與要點」（以下簡稱本要點）。

本院各系所得訂定更嚴謹之敘獎細則，經系、院教師評審委員會（以下簡稱教評會）審議通過，送研發處核備後實施。

Article I The National Dong Hwa University (hereinafter referred to as NDHU) College of Humanities and Social Sciences (hereinafter, the College) has established the *National Dong Hwa University College of Humanities and Social Sciences Disbursement Guidelines for Research Performance Bonuses* in accordance with the *National Dong Hwa University Disbursement Guidelines for Research Performance Bonuses* to reward faculty members who exhibit exceptional achievement and outstanding performance in the academic research or professional fields.

The departments of the College may establish stricter disbursement guidelines of their own. Departmental guidelines shall be implemented upon approval of the faculty evaluation committees of the various College departments and the College Faculty Evaluation Committee, and submission to the Research and Development Office to be entered into record.

二、本院專任（專案）助理教授（含）職級以上教師，得申請本校研究績效獎勵金（以下簡稱本研究獎勵金）。提出申請之各項研究績效成果除須以國立東華大學名義發表，且應與申請人專業領域相符。

Article II Faculty members of the College ranked at a position of contracted assistant professor or above may apply for an *NDHU Research Performance Bonus* (hereinafter, the Research Bonus). Any research results submitted with an application must be published under the name of NDHU, and must be related to the applicant's field of study.

三、本研究獎勵金可敘獎績效類別、分級標準與敘獎點數如下：

Article III The categories, ranking standards, and scoring criteria for Research Bonuses are as follows:

（一）經匿名審查正式出版之期刊論文

1. 獲國內外知名資料庫或排序收錄期刊

類別	分級標準	級別	最高敘獎點數
SCIE期刊	Impact Factor 前3%	A+	15
	Impact Factor 4~10%	A	10
	Impact Factor 11~25%	B	7
	Impact Factor 26~50%	C	4

	Impact Factor 51~80%	D	2
	Impact Factor超過80%	E	1
SSCI期刊	Impact Factor 前30%	A	15
	Impact Factor 31~60%	B	10
	Impact Factor 61~90%	C	6
	Impact Factor超過90%	D	4
AHCI期刊	不分級		10
ECONLIT期刊	不分級		3
臺灣人文及社會科學核心期刊	第一級	A	8
	第二級	B	5

2.其他國內外學術期刊論文（須檢附匿名審查證明/說明），每篇敘獎1~2點，此類論文每人累計敘獎至多4點。

3.期刊論文列入超過一項上述分級標準者，以對申請人最有利之標準敘獎。

A. Formally published and peer reviewed journal articles

1. Papers published in journals included and ranked in renowned academic journal indices

Category	Ranking Standard	Rank	Max Point Awarded
SCIE journals	Impact Factor top 3%	A+	15
	Impact Factor 4-10%	A	10
	Impact Factor 11-25%	B	7
	Impact Factor 26-50%	C	4
	Impact Factor 51-80%	D	2
	Impact Factor more than 80%	E	1
SSCI journals	Impact Factor top 30%	A	15
	Impact Factor 31-60%	B	10
	Impact Factor 61-90%	C	6
	Impact Factor more than 90%	D	4
AHCI journals	Not ranked		10
EconLit journals	Not ranked		3
Taiwan Humanities and Social Sciences Core journals	Grade I	A	8
	Grade II	B	5

2. One to two points shall be awarded for each paper published in any other domestic or international academic journal (with proof/statement of peer review attached) with a maximum accumulated score of 4 points per person.

3. For journal papers meeting more than one of the ranking criteria above, the criterion which most benefits the applicant shall be applied.

(二) 經正式匿名審查之學術論著專書、專章（原則上均須檢附匿名審查證明/說明，若因情況特殊無法檢附者申請時須主動敘明理由，由系、院教評會衡酌是否採計，且敘點得不受以下敘獎點數下限之規定。）

1. 類別與敘獎點數

類別	敘獎點數
專書	5~15
專章	1~5

2. 學術論著專書作為升等教師資格通過之代表著作者，敘獎15點；學術論著專章作為升等教師資格通過之代表著作者，敘獎5點。

3. 單一申請人為同本專書超過一個章節（或一篇論文）之作者時，僅得以一個章節（或一篇專書論文）提出申請。

- 4.學術論著專書若有部分篇章曾獲本研究獎勵金者，應檢附相關說明，且不得重覆敘點。
- 5.於國內外學術研討會發表之論文，會後經匿名審查（必須檢附匿名審查證明，否則不予敘點）並收錄於正式出版之會議論文集者視同專章，惟每篇敘獎2點，且會議論文集論文每人累計敘獎至多4點。
- 6.教科書不予敘點。

B. Formally peer reviewed academic monographs or monograph chapters (In principle, proof/statement of peer review should be attached. Applicants should clarify the reason to be taken into consideration by the department and college faculty evaluation committees if they are unable to provide the required proof/statement due to special circumstances. The department and college faculty evaluation committees will decide whether to adopt the plan, and the classification points are not subject to the following lower limit of classification points.)

1. Categories and points awarded

Category	Points awarded
Academic monographs	5-15 points
Academic monograph chapters	1-5 points

2. Fifteen points shall be awarded for any academic monograph, and 5 points shall be awarded for any chapter of an academic monograph, that is a representative work of an author's academic promotion.
  3. If any applicant authors more than one chapter (or paper) in the same monograph, the chapters (papers) shall be counted as one chapter (paper) in the application process.
  4. Applicants shall attach an explanatory statement for any academic monographs included in their application which contain chapters for which they have previously received a Research Bonus. Points shall not be awarded again.
  5. Any paper published and peer reviewed (proof of review must be attached; otherwise, points shall not be awarded) at a domestic or international academic conference and later included in the conference's published proceedings shall be considered a monograph chapter; 2 points shall be awarded for each paper, with a maximum score of 4 points per conference.
  6. Points shall not be awarded for textbooks.
- (三) 經科技部經典譯注計畫、國家教育研究院補助通過，或其他具學術價值之經典譯注，且與申請人專業研究領域相關者，每本敘獎1~10點。
- C. Translated and annotated works of classic literature approved for subsidization by the MOST Project for the Translation and Annotation of Classic Literature, or of other academic value which is relevant to the applicant's field of research, shall be awarded 1 to 10 points.

(四) 文學創作及藝文（含戲劇、音樂、藝術）公開展演

1. 類別與敘獎點數

類別	敘獎點數
文學創作專書（含單篇集結），經專業出版社正式出版者	4~10
文學創作單篇，經專業出版社正式出版者	1~3
國內巡迴或國際性之戲劇、音樂、藝術作品公開展演	3~5
經專業出版社正式出版之文學創作或經公開展演之戲劇、音樂、藝術作品獲國內外獎項者（同學年度）	加計至多5點
經專業出版社正式出版之文學創作或經公開展演之戲劇、音	國內至多5點

樂、藝術作品獲國內外獎項者（不同學年度）	國際至多10點
經公開展演戲劇、音樂、藝術作品之策展成果，經專業出版社正式出版者	1~3

2. 單篇集結之文學創作專書若有部分篇章曾獲本研究獎勵金者，應檢附相關說明，且不得重覆敘點。
3. 同作品多次公開展演，計點至多兩次，且第二次須折半計算。
4. 同作品獲國內外獎項之研究獎勵敘點（不含出版或公開展演與獲獎於同學年度之加計部分），歷年累計至多20點（應檢歷次獲本研究獎勵金之相關說明）。

D. Literary works and public performances or exhibitions (including drama, music, and art)

1. Categories and scoring

Category	Points awarded
Literary works (books, including collections of articles) formally published by a publishing company	4-10 points
Literary works (articles) formally published by a publishing company	1-3 points
Drama, music, or art exhibitions or performances that tour domestic or internationally	3-5 points
Literary works which have been formally published by a publishing company, or works in the fields of drama, music, or the visual arts that have been exhibited or publically performed, that receive domestic or international awards (in the current academic year)	Maximum of 5 bonus points
Literary works which have been formally published by publishing companies, or works in the fields of drama, music, or the visual arts that have been exhibited or publicly performed, that receive domestic or international awards ( <b>not</b> in the current academic year)	Domestic: Maximum of 5 points  International: Maximum of 10 points
Works in the fields of drama, music, or the visual arts that have been exhibited or publicly performed and formally published by a publishing company	1-3 points

2. Applicants shall attach an explanatory statement for any literary works included in their application which contain chapters for which they have previously received a Research Bonus. Points shall not be awarded again.
3. Any art work exhibited multiple times may only be counted twice, with the second instance awarded half of the points awarded in the first instance.
4. Points awarded for art work that wins multiple domestic or international awards (not including the bonus points for awards in the current academic year) shall not exceed a total score of 20 points (the applicant shall attach a statement detailing past Research Bonuses awarded for the work).

(五) 研究與產學計畫

1. 類別與敘獎點數

類別	任計畫職務	敘獎點數
大型（如國家型、科專、大產學）計畫	總主持人	5
科技部整合型或跨領域計畫	總主持人	3
大型、科技部整合型或跨領域計畫	子計畫主持人	1

一般型專題研究計畫	主持人	1
產學合作計畫 (1) 單一計畫管理費累計每滿達5萬元可敘獎1點，至多可採計3點。 (2) 單一計畫管理費未滿5萬元者，累計達5萬元以上可敘獎1點。	主持人	1

申請時應檢附計畫核定清單並註明本校編號(產學合作計畫之核定清單或佐證資料內容須能載明行政管理費金額)。

2. 須於計畫執行完畢後(多年期計畫指單一年度執行完畢)始得申請敘獎。須於計畫執行完畢後(多年期計畫指單一年度執行完畢，以經費核定清單或佐證資料為準)始得申請敘獎。

3. 同一計畫僅能選擇以一種類別敘獎。

4. 共同、協同主持人不予敘點。

#### E. Research projects and industry-academia collaboration projects

##### 1. Categories and points awarded

Category	Position	Points awarded
Major programs (e.g. national programs, technology programs, major industry-academia collaborations)	Chief Investigator	5 points
MOST integrated or interdisciplinary research projects	Chief Investigator	3 points
Major MOST projects or integrated/interdisciplinary research projects	Subproject Principal Investigator	1 point
General research projects	Principal Investigator	1 point
Industry-academia collaboration projects (1) A single project with administrative expenses of over NT\$50,000 can accumulate 1 point for every NT\$50,000 accumulatively, and up to 3 points can be collected. (2) Projects with the single one administrative expenses of less NT\$50,000, the accumulative total of more than NT\$50,000 yuan can be awarded 1 point.	Principal Investigator	1-3 points

Applicants shall attach a project approval list marked with NDHU's serial number. The project approval list or supporting documents for joint industry-academia projects shall indicate the administrative expenses.

2. Applications may not be submitted before completion of the project (For multi-year plan must be completed after a single annual plan is completed, and the approved list of funds or other supporting information must be attached).

3. Each project can only apply for awards in one category.

4. Points shall not be awarded to co- or assistant principal investigators.

四、上述研究績效(不含研究型計畫)為多人合作者(受指導之學生除外)，敘獎點數計算方式如下：

Article IV The scoring criteria for aforementioned research work (not including research projects) which has been jointly conducted by multiple individuals (not including students) are as follows:

(一) 學術論著專書、專章(不含經會後匿名審查正式出版之會議論文集論文)、具學術價值之

經典譯著，若由超過1名之本校教師合著者，僅可由本校教師1人提出申請。

- A. Academic monographs and monograph chapters (not including peer reviewed papers from formally published proceedings of conferences) authored by more than one faculty member at NDHU may only be submitted for consideration by one NDHU faculty member.

(二) 其他研究績效

1. 僅有1名本校教師作者，敘獎方式如下；

作者類別	敘獎方式
唯一通訊作者或第一作者	獲全部點數
多名通訊作者之一	$\frac{\text{點數}}{\text{通訊作者人數}}$
非通訊作者或第一作者之其他作者	$\frac{\text{點數}}{\text{所有作者人數}+1}$

2. 具超過1名本校教師作者，若有本校教師為通訊作者或無通訊作者之第一作者時，僅能由該類作者且限其中一名提出申請，敘獎方式參照上述「僅有1名本校教師作者」相關規定。若本校教師作者均為非通訊作者或第一作者之其他作者時，敘獎方式如下；

作者類別	敘獎方式
非通訊作者或第一作者之其他作者	$\frac{\text{點數}}{\text{所有作者人數}+1}$

B. Other research

1. For research authored by a single NDHU faculty member, the scoring criteria are as follows:

Authorship category	Points awarded
Sole Corresponding Author or First Author	Total points
One of the Corresponding Authors	$\frac{\text{Total points}}{\text{Number of Corresponding Authors}}$
Other author who is not the corresponding author or first author	$\frac{\text{Total points}}{\text{Number of all Authors} + 1}$

2. For research authored by more than one NDHU faculty member, if one of the NDHU faculty member is the corresponding author or the first author, the application may only be submitted by one of the faculty member in question, with the scoring criteria the same as the criteria defined in Article 4, Paragraph B, Section 1; if NDHU faculty member is other author who is not the corresponding author or first author, the scoring criteria are as follows:

Authorship category	Points awarded
Other author who is not the corresponding author or first author	$\frac{\text{Total points}}{\text{Number of all Authors} + 1}$

五、本要點未盡事宜，概依校研究績效獎勵辦法辦理。

Article V For issues not addressed in these Guidelines, refer to the NDHU Regulations for Research Performance Bonuses.

六、本要點經院教評會通過，送行政會議審核後實施。

Article VI These Guidelines shall be implemented following the approval of the College Faculty Evaluation Committee and review by the Administrative Council.